

Sandwell MBC

Summary Revenue Monitoring Statement
Revenue Monitoring P3 2018/19

Appendix A Revenue Monitoring

	Annual Target Budget	Projected Outturn	Use of / (Contribution to) Reserves	Use of Corporate Resources	Revised Outturn	Carry Forwards Previously Approved	Revenue Contribution to Capital (RCCO)	Projected (Surplus) / Deficit after RCCO & Approved C/fwds
	£	£	£	£	£	£	£	£
Corporate Management	131	131	0	0	131	0	0	0
Resources	19,964	22,105	0	(2,495)	19,610	0	0	(354)
Adult Social Care	89,004	85,078	0	0	85,078	0	0	(3,926)
Regeneration & Growth	25,049	26,863	216	(2,030)	25,049	0	0	0
Housing & Communities	17,730	18,904	(64)	(1,000)	17,840	0	100	210
Children's Services	75,411	77,692	(1,118)	(713)	75,861	0	0	450
Total Net Service Expenditure	227,289	230,773	(966)	(6,238)	223,569	0	100	(3,620)
Central Items	27,148	25,360	(152)	850	26,058			(1,090)
					0			0
Total Net Expenditure including Central Items	254,437	256,133	(1,118)	(5,388)	249,627	0	100	(4,710)
Public Health - Ringfenced Grant	2,778	2,005	10	(124)	1,891	0	0	(887)
Total Net Expenditure (including Public Health)	257,215	258,138	(1,108)	(5,512)	251,518	0	100	(5,597)
Non Service Income & Expenditure:								
Capital Charge Adjustment	(27,493)	(27,493)			(27,493)			0
Revenue Contributions towards Capital		0			0			0
External Interest Payments	18,721	18,721			18,721			0
Interest / Dividend Receipts	(2,087)	(2,087)			(2,087)			0
Contingency	390	390			390			0
					0			0
					0			0
Levy Payments:								
West Midlands Passenger Transport Levy	12,858	12,858			12,858			0
West Midlands Magistrates Courts	41	41			41			0
Environment Agency (Flood Defence Levy)	82	82			82			0
					0			0
					0			0
Movement On Reserves & Balances:								
Changes in Balances - Services (14/15,15/16,16/17 & 17/18 C/Fwds)	(13,984)	(13,984)			(13,984)			0
Changes in Balances - Other		0			0			0
Changes In Balances - Central Items					0			0
Changes in Balances - Earmarked Funds Allocated To Targets	(11,254)	(11,254)			(11,254)			0
					0			0
Use Of Centrally Earmarked Balances				(5,512)	5,512			5,512
Unutilised Grants to be Carried Forward		887			887			887
					0			0
Total Net Borough Expenditure	234,488	236,298	(1,108)	(11,024)	235,190	0	100	802
Sources of Finance:								
Revenue Support Grant	0	0			0			0
Retained Business Rates	(96,095)	(96,095)			(96,095)			0
Business Rates Top Up	(50,161)	(50,161)			(50,161)			0
Collection Fund Surplus (-) / Deficit - Council Tax	431	431			431			0
Collection Fund Surplus (-) / Deficit - NDR	8,649	8,649			8,649			0
Total Net Borough Sources of Finance	(137,176)	(137,176)	0	0	(137,176)	0	0	0
Total Net Borough Surplus(-)/Deficit	97,312	99,122	(1,108)	(11,024)	98,014	0	100	802

	Annual Budget	Projected Outturn	Projected (Surplus) / Deficit
Resources	£	£	£
Housing Benefits	501	501	0
Pensions General	4,728	4,328	(400)
W'ton: WMCC & WMRE	45	45	0
External Audit Fee	180	153	(27)
Joint Committee Servicing	19	19	0
Local Authority Subs	85	85	0
New Homes Bonus Grant	(3,593)	(3,593)	0
Business Rates Compensation Grant	(11,632)	(12,008)	(376)
Past Service Pension Costs	8,600	8,600	0
Special Events	25	25	0
Members Allowances	1,325	1,325	0
Coroners	335	335	0
Bank Charges	225	225	0
Airport Rent Income	(100)	(100)	0
Insurance	(395)	(395)	0
Apprenticeship Levy	480	480	0
Templink	(429)	(629)	(200)
Local Welfare Provision	0	152	152
Savings Target	87	0	(87)
Total	486	(452)	(938)
Contribution to / (Use of) Reserves			(152)
Use of Corporate Resources			
Adjusted Net Variance			(1,090)

	Annual Budget	Projected Outturn	Projected (Surplus) / Deficit
Children's Services/Schools	£	£	£
Building Schools for the Future	400	400	0
Total	400	400	0
Contribution to / (Use of) Reserves			0
Use of Corporate Resources			0
Adjusted Net Variance			0

	Annual Budget	Projected Outturn	Projected (Surplus) / Deficit
Neighbourhoods	£	£	£
Waste Partnership	25,962	25,112	(850)
Total	25,962	25,112	(850)
Contribution to / (Use of) Reserves			0
Use of Corporate Resources			850
Adjusted Net Variance			0

	Annual Budget	Projected Outturn	Projected (Surplus) / Deficit
Regeneration & Economy	£	£	£
Carbon Reduction Commitment	300	300	0
Total	300	300	0
Contribution to / (Use of) Reserves			0
Use of Corporate Resources			0
Adjusted Net Variance			0

Total Central Items	27,148	25,360	(1,788)
Total contribution to / (Use of) Reserves			(152)
Use of Corporate Resources			850
Adjusted Net Variance			(1,090)

Earmarked Reserve	Directorate	Balance as at 31 March 2018	Profile of Usage (Increase) / Decrease 2018/19	Forecast Outturn 2018/19	Forecast Variance (Under) / Over 2018/19
		£000	£000	£000	£000
General Fund					
Insurance Reserve	Resources	9,608		0	9,608
Grants Irregularities Reserve	Resources	1,031		0	1,031
Social Fund Grant Reserve	Resources	152		152	0
NDR Reserve	Resources	2,625		0	2,625
Proceeds of Crime	Resources	29		0	29
SCT set up costs	Resources	770		0	770
Supporting People	Adult Social Care	1,047		0	1,047
Taxi Licensing	Adult Social Care	104		0	104
Early Help	Childrens Services	1,083		1,083	0
Regen & Economy	Childrens Services	186		35	151
BSF FM Sinking Fund Reserve	Childrens Services	2,070		0	2,070
Teaching For Public Health Network	Public Health	288		(10)	298
West Midlands Regional Research	Regeneration & Economy	329		84	245
Sandwell Business Loans Fund	Regeneration & Economy	16		0	16
Sinking Fund RBC building	Regeneration & Economy	338		(150)	488
Sinking Fund Centrs 6th Building	Regeneration & Economy	498		(150)	648
Sports Grants	Neighbourhoods	48		24	
Physical Activity Board	Neighbourhoods				0
Portway Lifestyle Centre Property Reserve	Neighbourhoods	437		(75)	512
Private Sector Leasing	Neighbourhoods	217		75	142
Mortgage Rescue	Neighbourhoods	59		0	59
Dartmouth Park	Neighbourhoods	358		40	318
Total General Fund		21,293	0	1,108	20,161
Non General Fund					
Welfare Reform	Housing Revenue Account	3,010		0	3,010
Total Non General Fund		3,010	0	0	3,010
Total Earmarked Reserves		24,303	0	1,108	23,171

SANDWELL METROPOLITAN BOROUGH COUNCIL**CAPITAL MONITORING 2018/2019 - PERIOD 3 JUNE**

SUMMARY JUNE 2018/19	Original Budget 2018/19 (Main Programme)	Additional Approvals / Adjustments	Re-Profile to Future Years	Revised Budget 2018/19 (Main Programme)	Self Financing	Total Budget 2018/19	2018/19			(Surplus) / Deficit for the Year
	£	£	£	£	£	£	Actual Spend to Date	Remaining Spend	Total Forecast Expenditure	£
PERFORMANCE										
Corporate Management	9,000	0	0	9,000	0	9,000	90	8,910	9,000	0
Resources	2,270,000	1,200,000	-1,863,000	1,607,000	33,000	1,640,000	265,603	1,374,397	1,640,000	0
PERFORMANCE TOTAL	2,279,000	1,200,000	-1,863,000	1,616,000	33,000	1,649,000	265,693	1,383,307	1,649,000	0
PEOPLE										
Childrens Services	81,000	0	0	81,000	28,218,000	28,299,000	2,224,900	26,074,100	28,299,000	0
Adults Social Care	2,244,000	0	-714,000	1,530,000	7,657,000	9,187,000	703,989	8,483,011	9,187,000	0
PEOPLE TOTAL	2,325,000	0	-714,000	1,611,000	35,875,000	37,486,000	2,928,889	34,557,111	37,486,000	0
NEIGHBOURHOODS										
Regeneration & Growth	4,959,000	-1,260,000	0	3,699,000	8,598,000	12,297,000	1,573,851	10,723,149	12,297,000	0
Housing & Communities	6,734,000	0	0	6,734,000	1,365,000	8,099,000	324,461	7,774,539	8,099,000	0
NEIGHBOURHOODS TOTAL	11,693,000	-1,260,000	0	10,433,000	9,963,000	20,396,000	1,898,311	18,497,689	20,396,000	0
HOUSING REVENUE ACCOUNT										
Housing Revenue Account (HRA)	68,073,000	-3,529,000	4,259,000	68,803,000	0	68,803,000	8,657,993	60,146,259	68,804,251	1,251
HOUSING REVENUE ACCOUNT (HRA) TOTAL	68,073,000	-3,529,000	4,259,000	68,803,000	0	68,803,000	8,657,993	60,146,259	68,804,251	1,251
GRAND TOTAL	84,370,000	-3,589,000	1,682,000	82,463,000	45,871,000	128,334,000	13,750,886	114,584,365	128,335,251	1,251

Key Financial Outturn Performance Indicators at Quarter 1 2017/18

Appendix E

Key Performance Indicator		2018/19	Change from 2016/17	2017/18	Commentary
1	Revenue Collection Performance				
1.1	Council Tax Collection Rate	30%	↔	30%	A higher figure is beneficial in improving the Council's cash flow and also reducing administration costs.
1.2	Business Rates Collection Rate	37%	↑	31%	A higher figure is beneficial in improving the Council's cash flow and also reducing administration costs.
1.3	General Debtors				
	1.3.1 Total Amount Billed to Date (£m)	23	↑	22	Improved performance in collection rate and increase in amounts billed. Late invoices rased in June, specific
	1.3.2 Collection Rate	58%	↓	62%	
	1.3.3 Average No. of Days to receive	76	↓	63	
	1.3.4 Credit Notes Raised as a % of T	6%	↓	3%	
	1.3.5 Proportion of Debt > 90 days old	42%	↑	51%	
1.4	Housing Rents				
	1.4.1 Value of Rent Debit to Date (£m)		↔		A small % decrease in the value of the rent debit.
	1.4.2 Collection Rate		↔		A small % decrease in the collection rate.
2	Accounts Payable				
2.1	Proportion of Payments made by Electronic means (BACS & Bank Transfers)	93%	↔	93%	A higher figure is beneficial in terms of reducing administration costs and improved processes. Suppliers of goods and services receive prompt payment of invoices and therefore improved cash flow.

Aged Debt Report as at Period 3 2018/19

Year	Sundry Debtors 2017 £'000	Council Tax 2017 £'000	NNDR 2017 £'000	Total Aged Debt 2017 £'000
2017/2018	4,505	4,052	2,129	10,687
2016/2017	1,471	2,851	709	5,032
2015/2016	1,135	1,988	295	3,418
2014/2015	405	1,869	138	2,411
2013/2014	308	1,570	98	1,977
2012/2013	101	1,236	73	1,411
2011/2012	83	1,068	44	1,195
2010/2011	69	980	16	1,065
2009/2010	41	917	2	960
2008/2009	239	940	14	1,193
2007/2008	21	792	3	816
2006/2007	2	696	3	700
2005/2006	1	399	8	408
2004/2005	0	232	3	234
2003/2004	15	106	2	123
2002/2003	14	68	2	84
2001/2002	0	35	0	35
2000/2001	0	8	0	8
	8,412	19,808	3,537	31,757

Corporate Management Financial Monitoring 2018/19
Period 3 (1st April to 30th June 2018)

Revenue

1. Overview

The projected outturn for Corporate Management is breakeven, which can be further analysed as follows:

	Annual Target Budget £000	Projected Outturn £000	Projected (Surplus) / Deficit £000
Gross Expenditure	751	751	0
Gross Income	(620)	(620)	0
Net Expenditure	131	131	0
Contribution to / (Use of) Reserves			0
(Use of) Corporate Resources			0
Revenue Contribution to Capital (RCCO)			0
Adjusted Variance			0
Carried Forward Previously Approved			0
Net Variance			0

Further details of the projected outturn position can be found in Appendix F1.

2. Available Target Resources

Target budget resources available to Corporate Management as at period 3 are £0.131m. There have been no amendments since the 2018/19 budget was approved.

There have been no additional specific grants received by the service since the 2018/19 budget was approved.

3. Virements within the Service

There have been no virements of over £0.200m between sub divisions within Corporate Management since the 2018/19 budget was approved.

4. Central Items

The service does not have responsibility for the management of any Central Items there is no Appendix F2.

5. Earmarked Reserves

Corporate Management has not set aside any earmarked reserves for use on specific activities in current and future years.

6. Severance Payments

No severance payment costs have been incurred by Corporate Management to date, and none are expected during 2018/19.

7. Use of Corporate Resources

It is not anticipated that Corporate Management will call on corporate resources during 2018/19.

Capital

8. Overview

The total capital resources available to Corporate Management as at period 3 are £0.009m. There have been no amendments made since the 2018/19 budget was approved.

9. Virements

There have been no virements between capital schemes during the period.

10. Projected Capital Outturn

The projected capital outturn for Corporate Management is to breakeven.

Further details of the capital programme for the directorate can be found in Appendix F3.

11. Section 106 Monies

Corporate Management has no responsibility for Section 106 monies, there is no Appendix F4 for this service.

Contact

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Corporate Management	Appendix F1 Revenue Monitoring		
Area	Annual Target Budget	Projected Outturn	Projected Variance (Surplus) / Deficit
	£'000	£'000	£'000
Chief Executive	253	253	0
Combined Authority	411	411	0
Corporate Management	(533)	(533)	0
Total Net Expenditure	131	131	0
Contribution to / (Use of) Reserves			0
(Use of) Corporate Resources			0
Revenue Contribution to Capital (RCCO)			0
Adjusted Variance			0
Carried Forward Previously Approved			0
Net Variance			0
Subjective Analysis	Annual Target Budget	Projected Outturn	Projected Variance (Surplus) / Deficit
	£'000	£'000	£'000
Employees	188	188	0
Premises	0	0	0
Transport	10	10	0
Supplies & Services	466	466	0
Third Party Payments	0	0	0
Transfer Payments	0	0	0
Capital Charges	87	87	0
Gross Expenditure	751	751	0
Specific Grants	0	0	0
Other Grants & Contributions	0	0	0
Fees & Charges	0	0	0
Recharges in Target	(620)	(620)	0
Other Income	0	0	0
Gross Income	(620)	(620)	0
Total Net Expenditure	131	131	0

Corporate Management							Appendix F3 Capital Monitoring			
	Original Budget 2018/19 (Main Programme)	Additional Approvals / Adjustments	Re-Profile to Future Years	Revised Budget 2018/19 (Main Programme)	Self Financing	Total Budget 2018/19	2018/19			(Surplus) / Deficit for the Year
	£	£	£	£	£	£	Actual Spend to Date £	Remaining Spend £	Total Forecast Expenditure £	£
Thematic Pot Allocations										
3rd Floor Providence Place	9,000	0	0	9,000	0	9,000	90	8,910	9,000	0
Total	9,000	0	0	9,000	0	9,000	90	8,910	9,000	0

Resources Financial Monitoring 2018/19
Period 3 (1st April to 30th June 2018)

Revenue

1. Overview

The projected outturn for Resources is a surplus of £0.354m, which can be further analysed as follows:

	Annual Target Budget £000	Projected Outturn £000	Projected (Surplus) / Deficit £000
Gross Expenditure	40,462	43,302	2,840
Gross Income	(20,498)	(21,197)	(699)
Net Expenditure	19,964	22,105	2,141
Contribution to / (Use of) Reserves			0
(Use of) Corporate Resources			(2,495)
Revenue Contribution to Capital (RCCO)			0
Adjusted Variance			(354)
Carried Forward Previously Approved			0
Net Variance			(354)

It should be noted that the 2018/19 budget includes one-off resources of £0.910 million brought forward from previous years.

Further details of the projected outturn position can be found in Appendix G1. The table below outlines the main reasons for the projected surplus of £0.354m:

Reasons for Surplus/Deficit	£000
Revs and Benefits recovered costs for outstanding Council Tax, NNDR and Housing Benefits	(370)
Total	(370)

The projected net surplus is requested to be treated as follows:

Requested Treatment	£000
Carry Forward to 2019/20 to fund 6 Higher Level Apprentices	(134)
Carry Forward to 2020/21 to fund 6 Higher Level Apprentices	(134)
To be allocated - further details to be provided in Q2 monitoring	(86)
Total	(354)

2. Available Target Resources

Target budget resources available to Resources as at period 3 are £19.964m. This figure reflects the following amendments that have been made since the 2018/19 budget was approved:

	£000
Target Budget as per 2018/19 Business Plan	19,064
Target Adjustments -	
Approved Carry Forwards from 2017/18 to 2018/19	910
Transfer of Small Plant budget to Homes and Communities	(10)
Revised Target Budget	19,964

An additional budget amendment is requested to transfer £2.799m from Resources to Children's Services. This amendment is to reflect;

- a Service Level Agreement between Sandwell MBC and Sandwell Children's Trust for Support Services of £1.987m and;
- an allocation to Sandwell Children's Trust to establish additional Strategic Capacity of £0.812m.

There have been no additional specific grants received by the service since the 2018/19 budget was approved.

3. Virements within the Service

There have been no virements of over £0.200m between sub divisions of Resources since the 2018/19 budget was approved.

4. Central Items

The service has responsibility for the management of a number of Central Items that are detailed in Appendix G2.

The projected outturn for these items is a surplus of £1.090m. This surplus will be added to corporate balances. The table below outlines the main reasons for this projected surplus:

Reasons for Surplus/Deficit	£000
Historic pension liabilities have reduced due to a reduction in the number of past employees receiving pension payments	(400)
Increase in the Business Rates Compensation Grant allocated to Sandwell MBC in 2018/19	(376)
Additional Templink Agency Fees due to an increase in the number of external agency workers	(200)
Reduction in external audit fee due to a change in auditors	(27)
A planned 2019/20 budget saving has made in 2018/19	(87)
Total	(1,090)

5. Earmarked Reserves

The service has set aside sums totalling £14.215m in previous years as earmarked reserves for use on specific activities in current and future years. The service estimated that it would utilise £0.152m of earmarked reserves during the current year; the projected outturn is that this amount will be fully utilised as detailed in the following table:

Earmarked Reserve	Balance as at 31 March 2018 £000	Forecast Expenditure 2018/19 £000	Remaining Balance 31st March 2019 £000
Insurance Reserve	9,608	0	9,608
Grants Irregularities Reserve	1,031	0	1,031
Social Fund Reserve	152	152	0
NDR Reserve	2,625	0	2,625
P.O.C.A. (Proceeds of Crime)	29	0	29
Sandwell Children's Trust	770	0	770
Total	14,215	152	14,063

Insurance Reserve

This reserve relates to the Councils' Self Insurance Fund, linked to the Insurance Pool Position Statement. The balance is reviewed in relation to liabilities and the forward plan, and it is not currently anticipated that the reserve will be required in 2018/19.

Grants Irregularities Reserve

The Strategic Investment Unit are a grant giving body for a number of voluntary sector organisations and this reserve was set up to safeguard against the possible costs relating to these grants. It is not anticipated that the reserve will be required in 2018/19.

Social Fund Reserve

Surplus Local Welfare Provision Grant has been transferred to reserve to allow the Local Welfare Provision Service to continue after funding ceased. It is anticipated that the reserve will be fully utilised during 2018/19.

NDR Reserve

The 2013/14 Section 31 Small Business Rate Relief Grant was transferred to reserve to offset potential future pressures arising from the new accounting arrangement for NDR. It is not anticipated that the reserve will be required in 2018/19.

P.O.C.A. (Proceeds of Crime)

Monies received from successful Proceeds of Crime Act prosecutions are transferred to reserve to provide funding for future fraud related projects, investigations and prosecutions. It is not anticipated that the reserve will be required in 2018/19.

Sandwell Children's Trust

This reserve has been created to fund the set-up and one-off costs relating to the establishment and running of the Sandwell Children's Trust. It is not anticipated that the reserve will be required in 2018/19.

6. Severance Payments

In 2017/18 a provision of £0.933m was created for severance payment costs, of which £0.043m has been incurred to date. It is anticipated that the remaining provision will be fully utilised in 2018/19.

Additional severance payment costs of £0.008m not included in the 2017/18 provision have been incurred to date, with no further costs expected during 2018/19.

The table below summarises the position:

	Actual to Date	Remaining Costs	Forecast Outturn	Total Provision created 2017/18	Net in year Expenditure
	£000	£000	£000	£000	£000
Provision	43	890	933	933	0
Costs not provided for	8	0	8	0	8
Total	51	890	941	933	8
Funded by:					
Directorate					8
Corporate Resources					0
Total Funding					8

7. Use of Corporate Resources

Projected expenditure of £2.495m is requested to be funded from corporate resources. This is to cover the cost of;

- the Graduate Leader Schemes £0.450m;
- Oracle Licensing £0.707m;
- ICT Infrastructure Support £1.338m.

These items have already been agreed and are part of existing earmarked balances.

8. Housing Revenue Account

Resources has responsibility for managing functions that are required to be charged to the Housing Revenue Account rather than the Council's General Fund. The current and forecast position on these HRA related services are shown within the separate HRA report. Any cross subsidisation between the General Fund and HRA is not permitted.

Capital**9. Overview**

The total capital resources available to Resources as at period 3 are £1.640m. This reflects the following amendments that have been made since the previously reported monitoring:

	£000
Capital Budget as per 2017/18 P12 Budget Monitoring	2,303
<u>RCCO</u>	
ICT End User Computing 2	1,200
<u>Prudential Borrowing</u>	
Local Authority Mortgage scheme – slippage into 2019/20	(1,863)
Revised Capital Budget	1,640

10. Virements

There have been no virements between capital schemes during the period.

11. Projected Capital Outturn

The projected capital outturn for Resources is to breakeven.

Further details of the capital programme for the directorate can be found in Appendix G3.

12. Section 106 Monies

Resources has no responsibility for Section 106 monies, there is no Appendix G4 for this service.

Contact

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Appendix G Resources

Resources	Appendix G1 Revenue Monitoring		
Area	Annual Target Budget	Projected Outturn	Projected Variance (Surplus) / Deficit
	£'000	£'000	£'000
Directorate	420	408	(12)
Change and Communications	1,762	1,699	(63)
Revenues and Benefits and ICT	8,251	9,676	1,425
Finance	3,799	4,064	265
Law and Governance	1,803	1,988	185
Human Resources	3,929	4,270	341
Total Net Expenditure	19,964	22,105	2,141
Contribution to / (Use of) Reserves			0
(Use of) Corporate Resources			(2,495)
Revenue Contribution to Capital (RCCO)			0
Adjusted Variance			(354)
Carried Forward Previously Approved			0
Net Variance			(354)
Subjective Analysis	Annual Target Budget	Projected Outturn	Projected Variance (Surplus) / Deficit
	£'000	£'000	£'000
Employees	27,912	27,924	12
Premises	850	851	1
Transport	124	124	0
Supplies & Services	9,311	11,881	2,570
Third Party Payments	977	1,234	257
Transfer Payments	50	50	0
Capital Charges	1,238	1,238	0
Gross Expenditure	40,462	43,302	2,840
Specific Grants	0	0	0
Other Grants & Contributions	(2,721)	(2,846)	(125)
Fees & Charges	(900)	(1,200)	(300)
Recharges in Target	(7,394)	(7,585)	(191)
Other Income	(9,483)	(9,566)	(83)
Gross Income	(20,498)	(21,197)	(699)
Total Net Expenditure	19,964	22,105	2,141

Appendix G Resources

Resources	Appendix G2 Central Items		
Area	Annual Target Budget	Projected Outturn	Projected Variance (Surplus) / Deficit
	£'000	£'000	£'000
Local Authority Subs	85	85	0
W'ton: WMCC & WMRE	45	45	0
Joint Committee Servicing	19	19	0
External Audit Fee	180	153	(27)
New Homes Bonus Grant	(3,592)	(3,592)	0
Business Rates Compensation Grant	(11,632)	(12,008)	(376)
Insurance	(395)	(395)	0
Bank Charges	225	225	0
Airport Rent Income	(100)	(100)	0
Apprenticeship Levy	480	480	0
Past Service Pension Costs	8,600	8,600	0
Housing Benefits	501	501	0
Local Welfare Provision	0	152	152
Pensions General	4,728	4,328	(400)
Coroners	335	335	0
Members Allowances	1,325	1,325	0
Special Events	25	25	0
Templink	(429)	(629)	(200)
Savings Target	87	0	(87)
Total Net Expenditure	487	(451)	(938)
Contribution to / (Use of) Reserves			(152)
(Use of) Corporate Resources			0
Adjusted Net Variance			(1,090)

Appendix G Resources

Resources	Appendix G2 Central Items		
Subjective Analysis	Annual Target Budget	Projected Outturn	Projected Variance (Surplus) / Deficit
	£'000	£'000	£'000
Employees	21,387	20,987	(400)
Premises	35	35	0
Transport	1	1	0
Supplies & Services	4,090	4,128	38
Third Party Payments	106	106	0
Transfer Payments	121,038	121,038	0
Capital Charges	0	0	0
Gross Expenditure	146,657	146,295	(362)
Specific Grants	(135,761)	(136,137)	(376)
Other Grants & Contributions	(4,135)	(4,135)	0
Fees & Charges	(1,095)	(1,095)	0
Recharges in Target	(5,164)	(5,364)	(200)
Other Income	(15)	(15)	0
Gross Income	(146,170)	(146,746)	(576)
Total Net Expenditure	487	(451)	(938)

Appendix G Resources

Resources	Appendix G3 Capital Monitoring									
	Original Budget 2018/19 (Main Programme)	Additional Approvals / Adjustments	Re-Profile to Future Years	Revised Budget 2018/19 (Main Programme)	Self Financing	Total Budget 2018/19	2018/19			(Surplus) / Deficit for the Year
							Actual Spend to Date	Remaining Spend	Total Forecast Expenditure	
£	£	£	£	£	£	£	£	£	£	
Main Programme						0				
Sandwell Business Services : Development	50,000	0	0	50,000	0	50,000	0	50,000	50,000	0
RCCO										
ICT Infrastructure	120,000	0	0	120,000	0	120,000	85,277	34,723	120,000	0
ICT Strategy - ICT Equipment	152,000	0	0	152,000	0	152,000	150,895	1,105	152,000	0
ICT End User Computing 2	0	1,200,000	0	1,200,000	0	1,200,000	21,431	1,178,569	1,200,000	0
Sandwell Valley Catering Facility	12,000	0	0	12,000	0	12,000	0	12,000	12,000	0
Prudential Borrowing										
Local Authority Mortgage Scheme	1,863,000	0	(1,863,000)	0	0	0	0	0	0	0
Public Realm - Living Landscapes - Dartmouth Park	15,000	0	0	15,000	0	15,000	0	15,000	15,000	0
Thematic Pot Allocations										
Health & Safety	50,000	0	0	50,000	0	50,000	0	50,000	50,000	0
ICON Project - PC's	8,000	0	0	8,000	0	8,000	8,000	0	8,000	0
Grants / Self Financing										
Public Realm - General	0	0	0	0	33,000	33,000	0	33,000	33,000	0
Section 106										
						0		0	0	0
Total	2,270,000	1,200,000	(1,863,000)	1,607,000	33,000	1,640,000	265,603	1,374,397	1,640,000	0

Adult Social Care Financial Monitoring 2018/19
Period 3 (1 April 2018 to 30 June 2018)

Revenue

1. Overview

The projected outturn for Adult Social Care is a surplus of £3.926m, which can be further analysed as follows:

	Annual Target Budget £000	Projected Outturn £000	Projected (Surplus) / Deficit £000
Gross Expenditure	191,143	187,356	(3,787)
Gross Income	(102,139)	(102,278)	(139)
Net Expenditure	89,004	85,078	(3,926)
Contribution to / (Use of) Reserves			0
(Use of) Corporate Resources			0
Revenue Contribution to Capital (RCCO)			0
Adjusted Variance			(3,926)
Carried Forward Previously Approved			0
Net Variance			(3,926)

It should be noted that the 2017/18 budget includes one-off resources of £5.512m brought forward from previous years.

Further details of the projected outturn position can be found in Appendix H1. The following table outlines the main reasons for the projected surplus:

Reasons for (Surplus) / Deficit	£000
Business Strategy - vacancies arising from a service restructuring which are expected to be filled during the year.	(250)
Commissioning Support Unit - vacancies being held pending a service review following full implementation of the finance modules of the social care IT system	(274)
Social Work Teams - additional time limited staffing to support hospital discharge and and the movement of appropriate clients to the new Oxford Road development.	175
Prevention - additional demand for community equipment potentially linked to hospital discharges.	163
Direct Services - Vacancies within in-house day services and reduced activity within a number of activity based contracts.	(565)
Protection - slippage in the delivery of savings from a proposed service restructuring and an anticipated one-off increase in costs within the Taxi Licensing service, linked to a change in our approach to DBS checks (Disclosure & Barring Service).	287
External Placements - Pressure on external placement budgets offset by one-off resources earmarked to temporarily manage the financial risks associated with changes to the volume and cost of externally commissioned care.	(3,398)
Range of relatively small variances	(64)
Total	(3,926)

Proposals for the use of the projected surplus will be developed during the financial year.

2. Available Target Resources

Target budget resources available to the service as at period 3 are £89.004m. This figure reflects the following amendments that have been made since the 2018/19 budget was approved in February 2018:

	£000
Approved Target Budget	88,618
Target Adjustments -	
Transfer of Safeguarding Systems Team and IMPRESS System from Children's Services	386
Revised Target Budget	89,004

No additional specific grants have been allocated to the service since the 2018/19 budget was approved.

3. Virements within the Service

No virements of over £0.200m between sub divisions within Adult Social Care have been processed since the 2018/19 budget was approved.

4. Central Items

The service does not have responsibility for the management of any Central Items there is no Appendix H2.

5. Earmarked Reserves

The service has set aside sums totalling £1.151m in previous years as earmarked reserves for use on specific activities. The service does not anticipate utilising these reserves during the current year, as detailed in the following table:

Earmarked Reserve	Balance as at 31 March 2018 £000	Forecast Expenditure 2018/19 £000	Remaining Balance 31st March 2019 £000
Taxi Licensing	104	0	104
Adult Social Care	1,047	0	1,047
Total	1,151	0	1,151

The Taxi Licensing reserve has been earmarked to fund improvements to the service, linked to accommodation and ICT issues.

The Adult Social Care reserve will be used to temporarily manage the impact of ongoing budget reductions. The use of surpluses brought forward and access to additional Better Care Funding have reduced the likely call upon the reserve in this financial year.

6. Severance Payments

In 2017/18 a provision of £0.097m was created for severance payment costs. No costs have been incurred to date however it is anticipated that the provision will be fully utilised in 2018/19.

The following table summarises the position:

	Actual to Date	Remaining Costs	Forecast Outturn	Total Provision created 2017/18	Net in year Expenditure
	£000	£000	£000	£000	£000
Provision	0	97	97	97	0
Costs not provided for	0	0	0	0	0
Total	0	97	97	97	0
Funded by:					
Directorate					0
Corporate Resources					0
Total Funding					0

7. Use of Corporate Resources

It is not anticipated that Adult Social Care will call upon corporate resources during 2018/19.

Capital**8. Overview**

The total capital resources available to Adult Social Care as at period 3 are £9.187m. This reflects the following amendments that have been made since the previously reported monitoring:

	£000
Capital Budget as per P12 monitoring 2017/18	10,307
<u>Main Programme</u>	
Extra Care Development – slippage into 2019/20	(714)
<u>Grants/Self Financing</u>	
Disabled Facilities Grant (DFG) allocation from Ministry for Housing Communities and Local Government (MHCLG) has now been confirmed, higher than originally estimated.	660
Air Quality Monitoring – Public Health Grant	26
ASC Capital Grant – slippage into 2019/20	(1,092)
Revised Capital Budget	9,187

9. Virements

There have been no virements between capital schemes during the period.

10. Projected Capital Outturn

The projected capital outturn for Adult Social Care is to breakeven.

Further details of the capital programme for the directorate can be found in Appendix H3.

11. Section 106 Monies

Adult Social Care has no responsibility for section 106 monies, there is no Appendix H4 for this service.

Contact

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Business Partner - Finance

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Adult Social Care	Appendix H1 Revenue Monitoring		
Area	Annual Target Budget	Projected Outturn	Projected Variance (Surplus) / Deficit
	£'000	£'000	£'000
Management Team	1,309	1,277	(32)
Business Strategy	3,323	3,073	(250)
Commissioning Support Unit	2,005	1,730	(275)
External Placements	60,887	57,490	(3,397)
Social Work Teams	6,081	6,256	175
Therapy and Sensory Services	231	199	(32)
Better Care Fund	0	0	0
Prevention	760	923	163
Direct Services and Commissioning	8,651	8,086	(565)
Protection	5,757	6,044	287
Total Net Expenditure	89,004	85,078	(3,926)
Contribution to / (Use of) Reserves			0
(Use of) Corporate Resources			0
Revenue Contribution to Capital (RCCO)			0
Adjusted Variance			(3,926)
Carried Forward Previously Approved			0
Net Variance			(3,926)
Subjective Analysis	Annual Target Budget	Projected Outturn	Projected Variance (Surplus) / Deficit
	£'000	£'000	£'000
Employees	32,518	33,438	920
Premises	1,300	1,254	(46)
Transport	648	637	(11)
Supplies & Services	43,160	38,594	(4,566)
Third Party Payments	97,207	98,112	905
Transfer Payments	10,504	9,515	(989)
Capital Charges	5,806	5,806	0
Gross Expenditure	191,143	187,356	(3,787)
Specific Grants	(18,764)	(18,764)	0
Other Grants & Contributions	(29,677)	(29,438)	239
Fees & Charges	(16,329)	(17,427)	(1,098)
Recharges in Target	(10,517)	(10,517)	0
Other Income	(26,852)	(26,132)	720
Gross Income	(102,139)	(102,278)	(139)
Total Net Expenditure	89,004	85,078	(3,926)

Adult Social Care							Appendix H3 Capital Monitoring			
	Original Budget 2018/19 (Main Programme)	Additional Approvals / Adjustments	Re-Profile to Future Years	Revised Budget 2018/19 (Main Programme)	Self Financing	Total Budget 2018/19	2018/19			(Surplus) / Deficit for the Year
							Actual Spend to Date	Remaining Spend	Total Forecast Expenditure	
	£	£	£	£	£	£	£	£	£	£
Main Programme										
Extra Care Development	714,000	0	(714,000)	0	0	0	-	-	-	0
Vulnerable Home Owners Improvements - HMRA Receipts	384,000	0	0	384,000	0	384,000	14,323	369,677	384,000	0
Empty Properties	790,000	0	0	790,000	0	790,000	1,670	788,330	790,000	0
Housing Stock Condition Survey - Private Sector	29,000	0	0	29,000	0	29,000	-	29,000	29,000	0
				0		0		0	0	0
RCCO										
Swift Impress System (Earmarked Revenue Balance)	317,000	0	0	317,000	0	317,000	-	317,000	317,000	0
				0		0		0	0	0
Thematic Pot Allocations										
Thematic - Disability Day	9,000	0	0	9,000	0	9,000	671	8,329	9,000	0
10 St Michaels Street	1,000	0	0	1,000	0	1,000	-	1,000	1,000	0
				0		0		0	0	0
Grants / Self Financing										
AIS Implementation	0	0	0	0	64,000	64,000	-	64,000	64,000	0
Non Residential Assessments (NRCS)	0	0	0	0	20,000	20,000	-	20,000	20,000	0
Mobile & Agile Working	0	0	0	0	2,000	2,000	-	2,000	2,000	0
ASC System Development	0	0	0	0	254,000	254,000	-	254,000	254,000	0
ILC Alterations	0	0	0	0	80,000	80,000	-	80,000	80,000	0
Campus Closure	0	0	0	0	109,000	109,000	-	109,000	109,000	0
ASC Community Capacity Grant	0	0	0	0	175,000	175,000	-	175,000	175,000	0
Grants: Private Sector (Disabled Facilities Grant) Mandatory	0	0	0	0	6,499,000	6,499,000	669,833	5,829,167	6,499,000	0
Contaminated Land - Landfill Gas	0	0	0	0	20,000	20,000	4,890	15,110	20,000	0
Air Quality Monitoring	0	0	0	0	32,000	32,000	12,602	19,398	32,000	0
Warm Homes Healthy People	0	0	0	0	8,000	8,000	-	8,000	8,000	0
Vulnerable Home Owners Improvements - Kick Start	0	0	0	0	394,000	394,000	-	394,000	394,000	0
Total	2,244,000	0	(714,000)	1,530,000	7,657,000	9,187,000	703,989	8,483,011	9,187,000	0

Regeneration and Growth Financial Monitoring 2018/19
Period 3 (1st April to 30th June 2018)

Revenue

1. Overview

The projected outturn for Regeneration and Growth is a break-even position, which can be further analysed as follows:

	Annual Target Budget £000	Projected Outturn £000	Projected (Surplus) / Deficit £000
Gross Expenditure	51,627	52,980	1,353
Gross Income	(26,578)	(26,117)	461
Net Expenditure	25,049	26,863	1,814
Contribution to / (Use of) Reserves			216
(Use of) Corporate Resources			(2,030)
Revenue Contribution to Capital (RCCO)			0
Adjusted Variance			0
Carried Forward Previously Approved			0
Net Variance			0

It should be noted that the 2018/19 budget includes one-off resources of £2.927m brought forward from previous years.

Further details of the projected outturn position can be found in Appendix I1.

Appendix I Regeneration and Growth

The following table outlines some variances within the projected break-even position:

Reasons for Surplus/Deficit	£000
West Bromwich indoor market - income affected by rent concessions	200
Property Maintenance Account - impact of emergency repairs	100
Management - use of resources brought forward to manage overall service pressures.	(171)
Black Country Consortium - agreed contribution below budget	(39)
Staff vacancies pending restructuring	(71)
Other - net	(19)
Total	0

2. Available Target Resources

Target budget resources available to Regeneration and Growth as at period 3 are £25.049m. This figure reflects the following amendments that have been made since the 2018/19 budget was approved:

	£000
Approved Target Budget	24,718
Target Adjustments -	
Final additional agreed carry forwards from 17/18	753
Transfer for Christmas Lights	21
Transfer of Economic Development to Children's Services	(237)
Transfer of Tipton Education Fund to Children's Services	(13)
Transfer to Homes & Communities for purchase of winter gritter vehicles	(193)
Revised Target Budget	25,049

An additional budget amendment is requested to transfer £0.641m to Children's Services. This is to reflect an increase in the 2018/19 Sandwell Children's Trust contact payment for office accommodation and postal services.

There has been confirmation of additional specific grants received by the service since the 2018/19 budget was approved. These include:

- £0.250m Planning Delivery Fund grant to support investment and delivery of new homes;
- £0.028m New Burdens grant towards Planning & Development;
- £0.015m Flood Defence grant.

It is requested that these grants are noted and budgets amended to reflect the increased funding.

The council has received £0.882m of One Public Estate Grant. This has been allocated to the West Midlands Combined Authority, but it has not been reflected within the monitoring pending clarification of which organisation holds responsibility as the Accountable Body.

3. Virements within the Service

The following virements of over £0.200m between sub divisions within the directorate have been processed since the 2018/19 budget was approved. This reflects a restructure and the rationalisation of service areas from five to four:

In-Year Budget Virements		
	Debit £000	Credit £000
Property Services & Economic Development	1,154	
Corporate Landlord		8,380
Strategic Assets & Land Service	6,059	
Growth & Spatial Planning	588	
Management	579	
Total	8,380	8,380

4. Central Items

The service has responsibility for the management of one Central Item that is detailed in Appendix I2.

The projected outturn for this is a break-even position, with estimated payments to Department for Energy & Climate Change being in line with budget.

5. Earmarked Reserves

The service has set aside sums totalling £1.181m in previous years as earmarked reserves for use on specific activities. The service estimates making a net increase to these reserves during the current year as detailed in the following table:

Earmarked Reserve	Balance as at 31 March 2018 £000	Forecast Expenditure 2018/19 £000	Remaining Balance 31st March 2019 £000
Sandwell Business Loans Fund	16	0	16
West Midlands Regional Research	329	84	245
Sinking Fund RBC building	338	(150)	488
Sinking Fund Central 6th building	498	(150)	648
Total	1,181	(216)	1,397

- The Sandwell Business Loans Fund has provided past support but no current loans are pending;
- The West Midlands Regional Housing Research budget is held by Sandwell on behalf of all West Midlands authorities and is expected to continue for the foreseeable future;
- The two sinking funds relating to specific buildings are being built up to fund any major repairs that may become necessary in future years.

6. Severance Payments

In 2017/18 a provision of £0.502m was created for severance payment costs, of which no expenditure has been incurred to date. It is anticipated that the remaining provision will be fully utilised in 2018/19.

Additional severance payment costs of £0.043m not included in the 2017/18 provision have been incurred to date, with no further costs expected during 2018/19. It is requested that £0.030m of these costs be met from corporate resources, with the remaining £0.013m being funded from the service area.

The table below summarises the position:

	Actual to Date	Remaining Costs	Forecast Outturn	Total Provision created 2017/18	Net in year Expenditure
	£000	£000	£000	£000	£000
Provision	0	502	502	502	0
Costs not provided for	43	0	43	0	43
Total	43	502	545	502	43
Funded by:					
Directorate					13
Corporate Resources					30
Total Funding					43

7. Use of Corporate Resources

Projected expenditure of £2.030m is requested to be funded from corporate resources, broken down as follows:

- £2.000m relating to planned building demolitions, this has already been agreed and is part of existing ear-marked balances;
- £0.030m relating to severance payments, this is a new request.

8. Housing Revenue Account

Regeneration & Growth has responsibility for managing functions that are required to be charged to the Housing Revenue Account rather than the Council's General Fund. The current and forecast position on these HRA related services are shown within the separate HRA report. Cross subsidisation between the General Fund and HRA is not permitted.

Capital**9. Overview**

The total capital resources available to Regeneration & Growth as at period 3 are £13.797m. This reflects the following amendments that have been made since the previously reported monitoring:

	£000
Capital Budget as per P12 Budget Monitoring 2017/18	13,931
<u>Main Programme</u>	
Pothole fund	240
<u>Section 106</u>	
Slippage of Section 106 schemes into 2019/20	(578)
<u>Grants/Self Financing</u>	
Woods Lane re-development	300
Local Transport Plan (LTP) Integrated Transport Block - confirmation of grant lower than originally estimated	(214)
Local Transport Plan (LTP) Maintenance Block - confirmation of grant lower than originally estimated	118
Revised Capital Budget	13,797

Virements

There have been no virements between capital schemes during the period.

Projected Capital Outturn

The projected capital outturn for Regeneration & Growth is to breakeven. Further details of the capital programme for the directorate can be found in Appendix I3.

Section 106 Monies

The projected capital outturn includes a number of schemes that are funded from Section 106 monies. Total projected spend for these projects as at period 3 is £1.209m and details are provided in Appendix I4.

Appendix I Regeneration and Growth

Contact

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Regeneration & Growth	Appendix I1 Revenue Monitoring		
Area	Annual Target Budget	Projected Outturn	Projected Variance (Surplus) / Deficit
	£'000	£'000	£'000
Growth and Spatial Planning Service	2,330	2,384	54
Development Planning and Building Control Service	711	711	0
Strategic Assets and Land Service	6,059	8,049	1,990
Highways Services	15,370	15,370	0
Management	579	349	(230)
			0
Total Net Expenditure	25,049	26,863	1,814
Contribution to / (Use of) Reserves			216
(Use of) Corporate Resources			(2,030)
Revenue Contribution to Capital (RCCO)			
Adjusted Variance			0
Carried Forward Previously Approved			0
Net Variance			0
Subjective Analysis	Annual Target Budget	Projected Outturn	Projected Variance (Surplus) / Deficit
	£'000	£'000	£'000
Employees	13,252	12,998	(254)
Premises	10,917	12,729	1,812
Transport	247	126	(121)
Supplies & Services	16,759	16,675	(84)
Third Party Payments	100	100	0
Transfer Payments	1	1	0
Capital Charges	10,351	10,351	0
Gross Expenditure	51,627	52,980	1,353
Specific Grants	(222)	(222)	0
Other Grants & Contributions	(622)	(622)	0
Fees & Charges	(14,353)	(14,203)	150
Recharges in Target	(11,009)	(10,698)	311
Other Income	(372)	(372)	0
Gross Income	(26,578)	(26,117)	461
Total Net Expenditure	25,049	26,863	1,814

Regeneration & Growth	Appendix I2 Central Items		
Area	Annual Target Budget	Projected Outturn	Projected Variance (Surplus) / Deficit
	£'000	£'000	£'000
Carbon Reduction - Energy Efficiency	300	300	0
Total Net Expenditure	300	300	0
Contribution to / (Use of) Reserves			0
(Use of) Corporate Resources			0
Adjusted Net Variance			0
Subjective Analysis	Annual Target Budget	Projected Outturn	Projected Variance (Surplus) / Deficit
	£'000	£'000	£'000
Employees			0
Premises			0
Transport			0
Supplies & Services	300	300	0
Third Party Payments			0
Transfer Payments			0
Capital Charges			0
Gross Expenditure	300	300	0
Specific Grants			0
Other Grants & Contributions			0
Fees & Charges			0
Recharges in Target			0
Other Income			0
Gross Income	0	0	0
Total Net Expenditure	300	300	0

Regeneration & Growth

Appendix I3 Capital Monitoring

	Original Budget 2018/19 (Main Programme)	Additional Approvals / Adjustments	Re-Profile to Future Years	Revised Budget 2018/19 (Main Programme)	Self Financing	Total Budget 2018/19	2018/19			(Surplus) / Deficit for the Year
							Actual Spend to Date	Remaining Spend	Total Forecast Expenditure	
							£	£	£	
Main Programme										
West Bromwich Car Parking	581,000	0	0	581,000	0	581,000	0	581,000	581,000	0
Section 106 Monies - Lyng Lane	15,000	0	0	15,000	0	15,000	0	15,000	15,000	0
Reservoirs Act	20,000	0	0	20,000	0	20,000	3,222	16,778	20,000	0
College Relocation of Car Parking Costs	14,000	0	0	14,000	0	14,000	0	14,000	14,000	0
West Bromwich Town Square Development	6,000	0	0	6,000	0	6,000	0	6,000	6,000	0
SOHO Foundary	21,000	0	0	21,000	0	21,000	0	21,000	21,000	0
Living Landscapes - Green Bridge P1	16,000	0	0	16,000	0	16,000	0	16,000	16,000	0
Living Landscapes - Green Bridge P2	15,000	0	0	15,000	0	15,000	0	15,000	15,000	0
BSF Schools for the Future	428,000	0	0	428,000	0	428,000	0	428,000	428,000	0
Property Refurbishment (Capital Receipts)	1,531,000	0	0	1,531,000	0	1,531,000	153,093	1,377,907	1,531,000	0
Pothole Fund - Council Pot £240k	0	240,000	0	240,000	0	240,000	240,000	0	240,000	0
Access Fund	503,000	0	0	503,000	0	503,000	58,572	444,428	503,000	0
RCCO										
Birchley Island - (Corporate RCCO)	248,000	0	0	248,000	100,000	348,000	1,998	346,002	348,000	0
Black Country City Deal	1,500,000	0	0	1,500,000	0	1,500,000	0	1,500,000	1,500,000	0
Bear Junction Upgrade (Earmarked balances)	10,000	0	0	10,000	0	10,000	0	10,000	10,000	0
Brindley II - (Earmarked Reserve)	21,000	0	0	21,000	0	21,000	5	20,995	21,000	0
Temporary Transit Site - Gypsy (Earmarked Balances 16/17 Outturn Report)	5,000	0	0	5,000	0	5,000	0	5,000	5,000	0
Smethwick Council House Boiler Replacement (Theme PMA)	4,000	0	0	4,000	0	4,000	0	4,000	4,000	0
Prudential Borrowing										
Hill Top Demolition	3,000	0	0	3,000	0	3,000	0	3,000	3,000	0
1 Providence Place	2,000	0	0	2,000	0	2,000	0	2,000	2,000	0
Eastern Gateway	1,000	0	0	1,000	0	1,000	562	438	1,000	0
Thematic Pot Allocations										
Idox Public Access & Consultee Access Modules	7,000	0	0	7,000	0	7,000	0	7,000	7,000	0
H&S Compliance with Construction	8,000	0	0	8,000	0	8,000	0	8,000	8,000	0
Grants / Self Financing										
Major Schemes - Salaries Design Land in Advance	0	0	0	0	220,000	220,000	1,923	218,077	220,000	0
Major Route Signing	0	0	0	0	60,000	60,000	11,311	48,689	60,000	0
Local Safety Schemes	0	0	0	0	150,000	150,000	12,910	137,090	150,000	0
Local Area Safety Schemes	0	0	0	0	115,000	115,000	50,882	64,118	115,000	0
Traffic Initiated Schemes (Traffic Calming)	0	0	0	0	100,000	100,000	95,023	4,977	100,000	0
Measures to encourage Cycling	0	0	0	0	125,000	125,000	2,177	122,823	125,000	0
Measures to encourage Walking	0	0	0	0	125,000	125,000	5,158	119,842	125,000	0
Child Safety - Safe Routes to School	0	0	0	0	125,000	125,000	2,078	122,922	125,000	0
Traffic & Demand Management Measures	0	0	0	0	100,000	100,000	25,123	74,877	100,000	0
Major Scheme Contributions	0	0	0	0	360,000	360,000	28,035	331,965	360,000	0
Estimated & Provisional	0	0	0	0	0	0	0	0	0	0
Structural Maintenance Roads	0	0	0	0	1,936,000	1,936,000	601,080	1,334,920	1,936,000	0
Structural Maintenance Bridges	0	0	0	0	516,000	516,000	68,741	447,259	516,000	0
Street Lighting - Maintenance Block	0	0	0	0	130,000	130,000	1,979	128,021	130,000	0
Incentive Fund	0	0	0	0	542,000	542,000	0	542,000	542,000	0
Pothole Fund	0	0	0	0	394,000	394,000	22,239	371,761	394,000	0
Flood & Coastal Erosion Risk Management Grant - Thimblemill Brook	0	0	0	0	400,000	400,000	0	400,000	400,000	0
Woods Lane Re-Development	0	0	0	0	300,000	300,000	180,945	119,055	300,000	0
Children's Trust Accommodation Works (DFE Funded)	0	0	0	0	280,000	280,000	2,666	277,334	280,000	0
BSF Schools for the Future	0	0	0	0	74,000	74,000	0	74,000	74,000	0
Mobile Working	0	0	0	0	41,000	41,000	0	41,000	41,000	0
Section 106										
Roway Lane Development	0	0	0	0	0	0	0	0	0	0
Lyng Lane - West Bromwich	0	0	0	0	0	0	0	0	0	0
A41 Expressway / A4031 All Saints Way Junction - Tesco 106	0	0	0	0	1,209,000	1,209,000	1,794	1,207,206	1,209,000	0
Hateley Heath School	0	0	0	0	0	0	0	0	0	0
Construction of homes and sports facilities - Churchfields school	0	0	0	0	0	0	0	0	0	0
Affordable Homes - Alexandra Road, Tipton	0	0	0	0	0	0	0	0	0	0
Affordable Housing	0	0	0	0	0	0	0	0	0	0
Regional Housing Board Allocations										
General	0	0	0	0	1,128,000	1,128,000	0	1,128,000	1,128,000	0
School / Carrington Road	0	0	0	0	35,000	35,000	0	35,000	35,000	0
Queslade Bungalows Demolition	0	0	0	0	6,000	6,000	0	6,000	6,000	0
New Build / Supported Housing	0	0	0	0	27,000	27,000	2,332	24,668	27,000	0
Total	4,959,000	240,000	0	5,199,000	8,598,000	13,797,000	1,573,851	12,223,149	13,797,000	0

Regeneration & Growth		Appendix I4 Section 106 Monitoring		
Scheme	Description of Project	Balance Available @ 01/04/18 £	Forecast Expenditure for 2018/19 £	Balance Remaining @ 31/03/19 £
Roway Lane Development	Contribution to improvement works at the Fountain Land / Bromford Road junction	48,000	0	48,000
Lyng Lane Residential Development, West Bromwich	Contribution to cover 15 years residential car parking enforcement at the Lyng Lane site. 4 years left to run as at 01/04/18.	28,000	0	28,000
A41 Expressway / A4031 All Saints Way Junction	Contribution from TESCO towards the overall cost of the A41 Expressway / A4031 All Saints Way Junction scheme.	1,209,000	1,209,000	0
Hateley Heath School	Play Area Maintenance	33,000	0	33,000
Former Churchfields School, All Saints Way, West Bromwich	Erection of 182 dwellings, 3no 100m x 60m football pitches, changing room facilities together with associated road and sewer.	97,000	0	97,000
Land at Alexandra Road and Upper Church Lane, Tipton	Affordable Housing	386,000	0	386,000
Land at Lechdale Road, Great Barr	Demolition of existing garage buildings and erection of 12 semi-detached bungalows with new access road from Lechdale Road and associated car parking. Affordable Housing	48,600	0	48,600
High St / Dartmouth St West Bromwich (was Laing but now Taylor Wimpy)	Affordable Housing	11,800	0	11,800
Land at Seymour Road, Oldbury	Affordable Housing	90,600	0	90,600
Land at Summertown Road, Oldbury	Affordable Housing	28,000	0	28,000
Grand Total		1,980,000	1,209,000	771,000

Housing & Communities Financial Monitoring 2018/19
Period 3 (1st April to 30th June 2018)

Revenue**1. Overview**

The projected outturn for Housing & Communities is a deficit of £0.210m, which can be further analysed as follows:

	Annual Target Budget £000	Projected Outturn £000	Projected (Surplus) / Deficit £000
Gross Expenditure	33,907	34,377	470
Gross Income	(16,177)	(15,473)	704
Net Expenditure	17,730	18,904	1,174
Contribution to / (Use of) Reserves			(64)
(Use of) Central item surplus/ corporate resources			(1,000)
Revenue Contribution to Capital (RCCO)			100
Adjusted Variance			210
Carried Forward Previously Approved			0
Net Variance			210

It should be noted that the 2018/19 budget includes one-off resources of £0.868m brought forward from previous financial years.

Further details of the projected outturn position can be found in Appendix J1. The table below outlines the main reasons for the projected deficit of £0.210m:

Reasons for Deficit	£000
Temporary Accommodation - demand for service remains above budgeted provision	200
Homelessness - major restructure delayed with resulting employee savings	(300)
Homelessness grant - funds to be reprofiled into 19/20	300
Libraries - employee, rates and premises insurance pressures	50
Grounds Maintenance and Parks - pressures against employees additional hours for park gates opening/closing, rates, external transport hire and reduced fees and charges through reduced contractual and pitch income.	170
Fleet - largely reduced borrowing costs for vehicle purchases	(140)
Savings for vacancy within Sport & Leisure and additional income to Welfare Rights service	(80)
Other	10
Total	210

The intention is that management will develop urgent remedial action to move the projected net deficit towards a break-even position. In relation to the museums service it is requested the RCCO is to be treated as follows:

Requested Treatment	£000
Museums- a back-dated credit for business rates to be transferred to capital (RCCO) for repairs and building improvements at Oak House museum	100
Total	100

2. Available Target Resources

Target budget resources available to service area as at period 3 are £17.730m. This figure reflects the following amendments that have been made since the 2018/19 budget was approved:

	£000
Approved Target Budget :	17,408
Target Adjustments -	
Adjustment for final outturn surplus carried forward from 17/18	133
Transfer from Resources for small plant purchases by Fleet	10
Transfer from Highways to fund borrowing costs of winter gritter vehicles	193
Adjustment for 2017/18 prudential borrowing costs	(14)
Revised Target Budget	17,730

An additional budget amendment is requested to transfer £0.120m from Housing and Communities to Children's Services. This is to reflect an increase in the 2018/19 Sandwell Children's Trust contact payment for contact centre services.

There has been confirmation of additional specific grants received by the service since the 2018/19 budget was approved. These include:

- £0.064m Universal Credit personal budgeting assistance;
- £0.358m New Homelessness Reduction Act statutory requirements;
- £0.229m Community Safety confirmed grant from Police & Crime Commissioner;
- £0.100m controlled migration funding from Home Office;
- £0.011m Opportunities for Everyone funding within Libraries.

It is requested that these grants are noted and budgets amended to reflect the increased funding.

3. Virements within the Service

There have been no virements of over £0.200m between sub divisions within Housing & Communities since the 2018/19 budget was approved.

4. Central Items

The service has responsibility for the management of one Central Item that is detailed in Appendix J2.

The projected outturn for this is a surplus of £0.850m. It is proposed that this surplus is used to contribute towards the transforming local services £1.000m shortfall.

The following table outlines the main reasons for this projected surplus:

Reasons for Surplus/Deficit	£000
Contractual payment amendment relating to recycling rate performance to target	(300)
Inflation rate actual variances to estimated	(120)
The level of waste tonnage to be disposed likely to be lower than anticipated	(457)
Other - net	27
Total	(850)

5. Earmarked Reserves

The service has set aside sums totalling £1.119m in previous years as earmarked reserves for use on specific activities in current and future years. The service estimates that it will utilise £0.064m of earmarked reserves during the current year as detailed in the following table:

Earmarked Reserve	Balance as at 31 March 2018 £000	Forecast Expenditure 2018/19 £000	Remaining Balance 31st March 2019 £000
Sports Grants	48	24	24
Portway Lifestyle Centre Property Reserve	437	(75)	512
Private Sector Leasing	217	75	142
Mortgage Rescue	59	0	59
Dartmouth Park	358	40	318
Total	1,119	64	1,055

- The Sports Grants reserve supports 'Young & Gifted' athletes and local sports clubs;
- The Portway Lifestyle reserve is to ensure future contractual unitary payments will be fully funded;

- The Private Sector Leasing reserve is to cover potential refurbishment costs and bad debts linked to the lease of private properties for families in housing need;
- The mortgage rescue reserve is for exceptional emergency payments that may arise to prevent eviction of families from private properties;
- The Dartmouth Park reserve is a final balance of grant from Heritage Lottery Fund (HLF) to maintain the high standard of the park and pavilion after the recent renovation.

6. Severance Payments

In 2017/18 a provision of £0.360m was created for severance payment costs of which no expenditure has been incurred to date. It is anticipated that the remaining provision will be fully utilised in 2018/19.

The table below summarises the position:

	Actual to Date	Remaining Costs	Forecast Outturn	Total Provision created 2017/18	Net in year Expenditure
	£000	£000	£000	£000	£000
Provision	0	360	360	360	0
Costs not provided for	0	0	0	0	0
Total	0	360	360	360	0
Funded by:					
Directorate					0
Corporate Resources					0
Total Funding					0

7. Use of Corporate Resources

The service has a savings target of £1.000m relating to the transformation of local services and buildings project. These savings will not be achieved in 2018/19 and it is proposed that this deficit is largely met by the £0.850m projected surplus from the Waste Partnership central item. The waste contract will be monitored closely during the year and it may be able to fund the remaining shortfall. Alternatively, there may be a need for the final £0.150m shortfall to be funded from corporate resources, which will be reported in future budget monitoring reports.

8. Housing Revenue Account

Housing & Communities has responsibility for managing functions that are required to be charged to the Housing Revenue Account rather than the Council's General Fund. The current and forecast position on these HRA related services are shown within the separate HRA report. Cross subsidisation between the General Fund and HRA is not permitted.

Capital

9. Overview

The total capital resources available to Housing & Communities as at period 3 are £8.099m. This reflects the following amendments that have been made since the previously reported monitoring:

	£000
Capital Budget as per 2017/18 P12 Budget Monitoring	8,417
Section 106	
Culture S106 - slippage into 2019/20	(318)
Revised Capital Budget	8,099

10. Virements

There have been no virements between capital schemes during the period.

11. Projected Capital Outturn

The projected capital outturn for Housing & Communities is to breakeven.

Further details of the capital programme for the directorate can be found in Appendix J3.

12. Section 106 Monies

The projected capital outturn includes a number of schemes that are funded from Section 106 monies.

Appendix J Housing & Communities

Total projected spend for these projects as at period 3 is £0.132m and details are provided in Appendix J4.

Contact

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Housing & Communities	Appendix J1 Revenue Monitoring		
Area	Annual Target Budget	Projected Outturn	Projected Variance (Surplus) / Deficit
	£'000	£'000	£'000
Housing Management	1,013	1,248	235
Communities & Partnerships	6,330	7,280	950
Commercial Services	9,362	9,351	(11)
Business Excellence	1,025	1,025	0
Total Net Expenditure	17,730	18,904	1,174
Contribution to / (Use of) Reserves			(64)
(Use of) Central Item surplus/corporate balances			(1,000)
Revenue Contribution to Capital (RCCO)			100
Adjusted Variance			210
Carried Forward Previously Approved			0
Net Variance			210
Subjective Analysis	Annual Target Budget	Projected Outturn	Projected Variance (Surplus) / Deficit
	£'000	£'000	£'000
Employees	13,889	14,107	218
Premises	2,837	3,089	252
Transport	2,091	2,142	51
Supplies & Services	6,146	6,095	(51)
Third Party Payments	4,246	4,246	0
Transfer Payments	0	0	0
Capital Charges	4,698	4,698	0
Gross Expenditure	33,907	34,377	470
Specific Grants	(2,488)	(2,136)	352
Other Grants & Contributions	(990)	(943)	47
Fees & Charges	(4,521)	(4,548)	(27)
Recharges in Target	(8,178)	(7,846)	332
Other Income	0	0	0
Gross Income	(16,177)	(15,473)	704
Total Net Expenditure	17,730	18,904	1,174

Housing & Communities	Appendix J2 Central Items		
Area	Annual Target Budget	Projected Outturn	Projected Variance (Surplus) / Deficit
	£'000	£'000	£'000
Waste Partnership	25,962	25,112	(850)
Total Net Expenditure	25,962	25,112	(850)
Contribution to / (Use of) Reserves			0
(Use of) Corporate Resources			850
Adjusted Net Variance			0
Subjective Analysis	Annual Target Budget	Projected Outturn	Projected Variance (Surplus) / Deficit
	£'000	£'000	£'000
Employees	0	0	0
Premises	0	0	0
Transport	0	0	0
Supplies & Services	26,764	25,914	(850)
Third Party Payments	0	0	0
Transfer Payments	0	0	0
Capital Charges	0	0	0
Gross Expenditure	26,764	25,914	(850)
Specific Grants	0	0	0
Other Grants & Contributions	0	0	0
Fees & Charges	(242)	(242)	0
Recharges in Target	(560)	(560)	0
Other Income	0	0	0
Gross Income	(802)	(802)	0
Total Net Expenditure	25,962	25,112	(850)

Housing & Communities							Appendix J3 Capital Monitoring			
	Original Budget 2018/19 (Main Programme)	Additional Approvals / Adjustments	Re-Profile to Future Years	Revised Budget 2018/19 (Main Programme)	Self Financing	Total Budget 2018/19	2018/19			(Surplus) / Deficit for the Year
	£	£	£	£	£	£	Actual Spend to Date £	Remaining Spend £	Total Forecast Expenditure £	£
Main Programme										
Environmental Improvements To Neighbourhoods (Grot Spots)	235,000	0	0	235,000	0	235,000	26,731	208,269	235,000	0
Charlemont Community Centre Wigmore	37,000	0	0	37,000	0	37,000	0	37,000	37,000	0
Blackheath Library	196,000	0	0	196,000	0	196,000	0	196,000	196,000	0
Libraries Management System	40,000	0	0	40,000	0	40,000	11,626	28,374	40,000	0
Manor House - Phase 2	12,000	0	0	12,000	0	12,000	0	12,000	12,000	0
Lightwoods House & Park (16/17 outturn report)	191,000	0	0	191,000	0	191,000	36,562	154,438	191,000	0
Water Safety	14,000	0	0	14,000	0	14,000	814	13,186	14,000	0
RCCO										
Self Service Customer Portal (Corporate £600k / HRA £440k)	484,000	0	0	484,000	0	484,000	12,134	471,866	484,000	0
West Smethwick Park development plan	80,000	0	0	80,000	0	80,000	7,125	72,875	80,000	0
Oak House Museum Roof Repairs	10,000	0	0	10,000	0	10,000	0	10,000	10,000	0
Prudential Borrowing										
The Public - conversion to college	341,000	0	0	341,000	0	341,000	0	341,000	341,000	0
Lightwoods Park	85,000	0	0	85,000	0	85,000	0	85,000	85,000	0
Aquatic Centre - Commonwealth Games 2022	3,972,000	0	0	3,972,000	0	3,972,000	41,690	3,930,310	3,972,000	0
Acquisition of Vehicles - Prudential	1,000,000	0	0	1,000,000	0	1,000,000	108,876	891,124	1,000,000	0
Thematic Pot Allocations										
Forge Mill Farm	2,000	0	0	2,000	0	2,000	0	2,000	2,000	0
Lightwoods House Roof Works	35,000	0	0	35,000	0	35,000	0	35,000	35,000	0
Grants / Self Financing										
Libraries Management system	0	0	0	0	4,000	4,000	0	4,000	4,000	0
Manor House Conservation Plan	0	0	0	0	248,000	248,000	0	248,000	248,000	0
Lightwoods Park - HLF	0	0	0	0	912,000	912,000	0	912,000	912,000	0
Dartmouth Park - HLF	0	0	0	0	4,000	4,000	0	4,000	4,000	0
West Smethwick Park HLF	0	0	0	0	50,000	50,000	0	50,000	50,000	0
Oakhouse Barns Restoration Project	0	0	0	0	10,000	10,000	0	10,000	10,000	0
Youth Centre, Queens Way, Oldbury	0	0	0	0	5,000	5,000	0	5,000	5,000	0
Section 106										
Section 106 Accounts - Cultural	0	0	0	0	132,000	132,000	78,903	53,097	132,000	0
Total	6,734,000	0	0	6,734,000	1,365,000	8,099,000	324,461	7,774,539	8,099,000	0

Housing & Communities

Appendix J4 Section 106 Monitoring

Scheme	Description of Project	Balance Available @ 01/04/18 £	Forecast Expenditure for 2018/19 £	Balance Remaining @ 31/03/19 £
Titford Pools Open Space - Oldbury	Environmental Improvements Work to be carried out by Sandwell Valley Team	8,000	8,000	0
Queensway - Oldbury	Proposal being developed	3,300	0	3,300
Total - Oldbury		11,300	8,000	3,300
Haden Hill Park - Rowley Regis	Fencing Works	2,000	2,000	0
Bearmore Playing Fields Open Space - Rowley Regis	Project being developed	12,200	0	12,200
Total - Rowley Regis		14,200	2,000	12,200
Grenville Drive - Smethwick	Project being developed	18,400	0	18,400
Total - Smethwick		18,400	0	18,400
The Cracker - Tipton DC/05/45017	Masterplan in development	69,800	69,800	0
Total - Tipton		69,800	69,800	0
Hydes Road Playing Fields	Project being developed	37,100	37,100	0
Wednesbury Town Centre - Wednesbury	Project being developed leading up to Centenary Celebrations in 2018	1,600	1,600	0
Brunswick Park - Wednesbury	Project being developed including Play Equipment replacement	13,100	13,100	0
Total - Wednesbury		51,800	51,800	0
Lyttleton Street - West Bromwich DC/05/45555	Project being developed	14,500	0	14,500
Bank Street - West Bromwich DC/05/44805	Project being developed	4,600	0	4,600
Total - West Bromwich		19,100	0	19,100
Grand Total		184,600	131,600	53,000

Children's Services Financial Monitoring 2018/19
Period 3 (1st April to 30th June 2018)

Revenue

1. Overview

The projected outturn for Children's Services is a deficit of £0.450m, which can be further analysed as follows:

	Annual Target Budget £000	Projected Outturn £000	Projected (Surplus) / Deficit £000
Gross Expenditure	104,116	105,284	1,168
Gross Income	(28,705)	(27,592)	1,113
Net Expenditure	75,411	77,692	2,281
Contribution to / (Use of) Reserves			(1,118)
(Use of) Corporate Resources			(713)
Revenue Contribution to Capital (RCCO)			0
Adjusted Variance			450
Carried Forward Previously Approved			0
Net Variance			450

It should be noted that the 2018/19 budget includes one-off resources of £0.179 million brought forward from previous years.

Appendix K Children's Services

Further details of projected outturn position can be found in Appendix K1. The table below outlines the main reasons for the projected deficit of £0.450m:

Reasons for Surplus/Deficit	£000
SEN Home to School Transport - Additional costs above the initial contract price due to a retendering of the Meadows Contract and changes to other contracts following due diligence	750
Children's Centres - Savings against the centrally held budget for premises costs that are not included in the Children's Centres contracts	(200)
SEND Implementation Grant - SEND implementation work in 2018/19 will not require the full grant allocation	(100)
Total	450

The projected net deficit is requested to be treated as follows:

Requested Treatment	£000
Directorate Savings - To be identified	450
Total	450

2. Available Target Resources

Target budget resources available to Children's Services as at period 3 are £75.411m. This figure reflects the following amendments that have been made since the 2018/19 budget was approved:

	£000
Target Budget as per 2018/19 Business Plans	70,317
Target Adjustments -	
Children's Trust Adjustment	5,014
Approved Carry Forward from 2017/18 to 2018/19	179
Transfer of Economic Development from Regeneration to Children's Services	237
Transfer of Safeguarding Systems Team and IMPRESS System to Adults	(386)
Transfer of Tipton Education Fund from Regeneration	13
Allocation of budget to fund Tipton Education Fund prior years costs	37
Revised Target Budget	75,411

An additional budget amendment is requested to transfer £3.560m to Children's Services from:

- Resources £2.799m;
- Regeneration £0.641m and;
- Housing and Communities £0.120m.

This is to reflect an increase in the 2018/19 Sandwell Children's Trust contact payment as a result of:

- the Support Services Service Level Agreement £2.123m;
- Property Services Service Level Agreement £0.625m and;
- an additional allocation to fund Strategic Capacity £0.812m.

It is further requested to transfer revenue budget of £0.020m to Capital to fund an equipment upgrade for the Attendance and Prosecutions Service.

There have been no additional specific grants received by the service since the 2018/19 budget was approved.

3. Virements within the Service

There have been no virements of over £0.200m between sub divisions of Children's Services since the 2018/19 budget was approved.

4. Central Items

The service has responsibility for the management of one Central Item that is detailed in Appendix K2.

The projected outturn for this item is a break-even position.

5. Earmarked Reserves

The service has set aside sums totalling £3.339m in previous years as earmarked reserves for use on specific activities in current and future years. The service estimated that it would utilise £1.118m of earmarked reserves during the current year; the projected outturn is that this amount will be fully utilised as detailed in the following table:

Earmarked Reserve	Balance as at 31 March 2018 £000	Forecast Expenditure 2018/19 £000	Remaining Balance 31st March 2019 £000
Regulation and Economy	186	35	151
Early Help	1,083	1,083	0
BSF Sinking Fund	2,070	0	2,070
Total	3,339	1,118	2,221

Early Help

This reserve was established to maintain the Early Help offer and was linked to a large range of projects established under the Families and Communities Together agenda. The reserve was not transferred to Sandwell Children's Trust and will be fully utilised by Children's Services in 2018/19.

Regeneration and Economy

The Regeneration and Economy reserve was created to support a number of measures designed to promote training and the creation of jobs. These measures included future skills, apprenticeship training, a fit for work programme and workchoice. The profiling of these measures is reviewed on an ongoing basis and it is currently anticipated that £0.035m of this reserve will be used in the 2018/19 financial year with the balance of £0.151m to be used in the 2019/20 financial year.

BSF FM Sinking Fund

Sums totalling £2.070m were set aside in previous years as earmarked reserves for use on the Building Schools for the Future Facilities Management Sinking Fund. The service estimates that it will not utilise this reserve during the current year.

6. Severance Payments

In 2017/18 a provision of £0.042m was created for severance payment costs, of which no expenditure has been incurred to date. It is anticipated that the remaining provision will be fully utilised in 2018/19.

The table below summarises the position:

	Actual to Date	Remaining Costs	Forecast Outturn	Total Provision created 2017/18	Net in year Expenditure
	£000	£000	£000	£000	£000
Provision	0	42	42	42	0
Costs not provided for					0
Total	0	42	42	42	0
Funded by:					
Directorate					0
Corporate Resources					0
Total Funding					0

7. Use of Corporate Resources

Projected expenditure of £0.713m is requested to be funded from corporate resources, broken down as follows:

- £0.233m to cover the cost of the Sandwell Guarantee Scheme, this has already been agreed and is part of existing ear-marked balances;
- £0.130m to cover the cost of SEND reforms, this has already been agreed and is part of existing ear-marked balances;
- £0.350m to address pressures related to No Recourse to Public Funds expenditure, this is a new request.

Capital

8. Overview

The total capital resources available to Children's Services as at period 3 are £28.299m. This reflects the following amendments that have been made since the previously reported monitoring.

	£000
Capital Budget as per 2017/18 P12 Budget Monitoring	25,644
Grants / Self Financing	
Schools Capital Programme – Basic Need	2,655
Revised Capital Budget	28,299

9. Virements

There have been no virements between capital schemes during the period.

10. Projected Capital Outturn

The projected capital outturn for Children's Services is to breakeven.

Further details of the capital programme for the directorate can be found in Appendix K3.

11. Section 106 Monies

Children's Services has no responsibility for section 106 monies, there is no Appendix K4 for this service.

Contact

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0121 569 3863

Appendix K Children's Services

Children's Services	Appendix K1 Revenue Monitoring		
Area	Annual Target Budget	Projected Outturn	Projected Variance (Surplus) / Deficit
	£'000	£'000	£'000
Director of Education and Employment	7,504	7,504	0
Education Support services	2,787	2,807	20
Learning Improvement	2,965	3,173	208
Inclusive Learning	3,223	4,043	820
Director of Children's Services	4,286	5,519	1,233
Sandwell Children's Trust	54,646	54,646	0
Total Net Expenditure	75,411	77,692	2,281
Contribution to / (Use of) Reserves			(1,118)
(Use of) Corporate Resources			(713)
Revenue Contribution to Capital (RCCO)			0
Adjusted Variance			450
Carried Forward Previously Approved			0
Net Variance			450
Subjective Analysis	Annual Target Budget	Projected Outturn	Projected Variance (Surplus) / Deficit
	£'000	£'000	£'000
Employees	13,174	13,245	71
Premises	1,304	1,181	(123)
Transport	2,937	3,687	750
Supplies & Services	7,260	7,380	120
Third Party Payments	54,646	54,646	0
Transfer Payments	46	396	350
Capital Charges	24,749	24,749	0
Gross Expenditure	104,116	105,284	1,168
Specific Grants	(2,194)	(2,194)	0
Other Grants & Contributions	0	0	0
Fees & Charges	(3,269)	(2,156)	1,113
Recharges in Target	(7,921)	(7,921)	0
Other Income	(15,321)	(15,321)	0
Gross Income	(28,705)	(27,592)	1,113
Total Net Expenditure	75,411	77,692	2,281

Appendix K Children's Services

Children's Services	Appendix K2 Central Items		
Area	Annual Target Budget £'000	Projected Outturn £'000	Projected Variance (Surplus) / Deficit £'000
Building Schools for the Future	400	400	0
Total Net Expenditure	400	400	0
Contribution to / (Use of) Reserves			0
(Use of) Corporate Resources			0
Adjusted Net Variance			0
Subjective Analysis	Annual Target Budget £'000	Projected Outturn £'000	Projected Variance (Surplus) / Deficit £'000
Employees	0	0	0
Premises	0	0	0
Transport	0	0	0
Supplies & Services	400	400	0
Third Party Payments	0	0	0
Transfer Payments	0	0	0
Capital Charges	0	0	0
Gross Expenditure	400	400	0
Specific Grants	0	0	0
Other Grants & Contributions	0	0	0
Fees & Charges	0	0	0
Recharges in Target	0	0	0
Other Income	0	0	0
Gross Income	0	0	0
Total Net Expenditure	400	400	0

Children's Services							Appendix K3 Capital Monitoring			
	Original Budget 2018/19 (Main Programme)	Additional Approvals / Adjustments	Re-Profile to Future Years	Revised Budget 2018/19 (Main Programme)	Self Financing	Total Budget 2018/19	2018/19			(Surplus) / Deficit for the Year
	£	£	£	£	£	£	Actual Spend to Date £	Remaining Spend £	Total Forecast Expenditure £	£
Relocation of Stuart Bathurst	0	0	0	0	20,000	20,000	19,394	606	20,000	0
St James CE Primary	0	0	0	0	11,000	11,000	0	11,000	11,000	0
Summerhill Academy	0	0	0	0	2,000	2,000	1,200	800	2,000	0
Rood End Bulge Class	0	0	0	0	1,000	1,000	326	674	1,000	0
Hanvills Hawthorn PSBP	0	0	0	0	0	0	0	0	0	0
Hall Green PSBP	0	0	0	0	0	0	0	0	0	0
Joseph Turner	0	0	0	0	1,000	1,000	0	1,000	1,000	0
Uplands Manor Internal Refurb	0	0	0	0	1,000	1,000	0	1,000	1,000	0
Holy Trinity CE Primary	0	0	0	0	1,000	1,000	0	1,000	1,000	0
Holy Name	0	0	0	0	1,000	1,000	0	1,000	1,000	0
Blackheath Primary	0	0	0	0	1,000	1,000	177	823	1,000	0
Ingestre Hall - Boiler Replacement	0	0	0	0	1,000	1,000	750	250	1,000	0
Programme Contingency 18/19 5%	0	0	0	0	317,000	317,000	0	317,000	317,000	0
Devolved Formula Capital	0	0	0	0	0	0	0	0	0	0
Devolved Formula Capital - School Contribution	0	0	0	0	0	0	0	0	0	0
Devolved Formula Capital - PRU's	0	0	0	0	0	0	0	0	0	0
BSF Oldbury	0	0	0	0	171,000	171,000	0	171,000	171,000	0
Two Years Old Entitlement - Early Years Capital	0	0	0	0	7,000	7,000	5,000	2,000	7,000	0
Orchard Building Work	0	0	0	0	59,000	59,000	0	59,000	59,000	0
Total	81,000	0	0	81,000	28,218,000	28,299,000	2,224,900	26,074,100	28,299,000	0

Public Health Financial Monitoring 2018/19
Period 3 (1st April to 31st June 2018)

Revenue

1. Overview

The projected outturn for Public Health is a surplus of £0.887m, which can be further analysed as follows:

	Annual Target Budget £000	Projected Outturn £000	Projected (Surplus) / Deficit £000
Gross Expenditure	27,492	26,879	(613)
Gross Income	(24,714)	(24,874)	(160)
Net Expenditure	2,778	2,005	(773)
Contribution to / (Use of) Reserves			10
(Use of) Corporate Resources			(124)
Revenue Contribution to Capital (RCCO)			0
Adjusted Variance			(887)
Carried Forward Previously Approved			0
Net Variance			(887)

It should be noted that the 2018/19 budget includes one-off resources of £2.681m brought forward from previous years.

Further details of the projected outturn position can be found in Appendix L1. The following table outlines the main reasons for the projected surplus of £0.887m:

Reasons for Surplus/Deficit	£000
Communicable Disease - deficit relates to the marketing and promotion of the New Sexual Health Contract and inclusion of home based element within the new contract for sexual health.	98
Long Term Conditions - Surplus is predominantly related to a saving achieved through the re-tendering of the Health Checks contract.	(39)
Children's - surplus relates to the school nurses contract and payment to BCHC offset by deficit on fluoridation contract	(25)
Substance Misuse & Smoking - Surplus reflects the savings anticipated from smoking cessation contract, and savings from vacant post	(37)
Wider Determinants -surplus has mainly occurred due to reduced activity in the Better Health Programme, winter related programmes and vacancies within the mental health team which has impacted on the delivery of programmes related to mindfulness and mental health	(428)
Public Health Management - Surplus has mainly arisen as a result of vacant posts, within the management tiers.	(237)
Public Health Grant - surplus relates to a directorate contingency funded from the carry forward of one off resources from previous years.	(218)
Total	(883)

Proposals for the use of the projected surplus will be developed during the financial year and will be influenced by the refresh of the Public Health priorities.

2. Available Target Resources

The target budget resources available to Public Health as at Period 3 are £2.778m. This figure includes one-off resources of £2.681m brought forward from previous years and has not changed since the 2018/19 budget was approved in February 2018.

There have been no additional specific grants received by the service since the 2018/19 budget was approved.

3. Virements within the Service

There have been no virements of over £0.200m between sub divisions within Public Health since the 2018/19 budget was approved.

4. Central Items

The service does not have responsibility for the management of any Central Items there is no Appendix L2.

5. Earmarked Reserves

The service has set aside sums totalling £0.288m in previous years as earmarked reserves for use on specific activities in current and future years. The service estimated that it will transfer £0.010m into earmarked reserves during the current year as detailed in the following table:

Earmarked Reserve	Balance as at 31 March 2018 £000	Forecast Expenditure 2018/19 £000	Remaining Balance 31st March 2019 £000
Learning for Public Health	288	(10)	298
Total	288	(10)	298

These funds are held on behalf of neighbouring local authorities for future seminars and publications relating to learning for public health.

6. Severance Payments

In 2017/18 a provision for severance payment costs was not necessary.

Additional severance payment costs of £0.028m not included in the 2017/18 provision have been incurred to date, with further costs of £0.055m expected during 2018/19. It is anticipated these costs will be funded from the service area.

The table below summarises the position:

	Actual to Date £000	Remaining Costs £000	Forecast Outturn £000	Total Provision created 2017/18 £000	Net in year Expenditure £000
Provision	28	55	83	0	83
Costs not provided for					0
Total	28	55	83	0	83
Funded by:					
Directorate					83
Corporate Resources					0
Total Funding					83

7. Use of Corporate Resources

Projected expenditure of £0.124m is to be funded from corporate resources. This has already been agreed and is part of existing earmarked balances to cover the cost of SHAPE Programme. The SHAPE programme was initiated to ensure that the whole of the council along with partner organisations listened and responded to the views of children and young people.

The acronym SHAPE is derived from

Staying **S**afe
Being **H**ealthy
Enjoying and **A**chieving
Making a **P**ositive Contribution
Economic Wellbeing

Capital

8. Overview

There are no capital resources available to Public Health, and therefore there is no Appendix L3 for this service.

9. Section 106 Monies

Public health has no responsibility for S106 monies therefore there is no Appendix L4 for this service.

Contact

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Public Health	Appendix L1 Revenue Monitoring		
Area	Annual Target Budget	Projected Outturn	Projected Variance (Surplus) / Deficit
	£'000	£'000	£'000
Communicable Disease	3,190	3,288	98
Long Term Conditions	2,789	2,750	(39)
Childrens	9,911	10,010	99
Substance Misuse & Smoking	4,625	4,588	(37)
Wider Determinants	3,640	3,213	(428)
Public Health Management	2,189	1,942	(247)
Public Health Grant	(23,566)	(23,784)	(218)
Total Net Expenditure	2,778	2,005	(773)
Contribution to / (Use of) Reserves			10
(Use of) Corporate Resources			(124)
Revenue Contribution to Capital (RCCO)			0
Adjusted Variance			(887)
Carried Forward Previously Approved			0
Net Variance			(887)
Subjective Analysis	Annual Target Budget	Projected Outturn	Projected Variance (Surplus) / Deficit
	£'000	£'000	£'000
Employees	2,833	2,576	(256)
Premises	132	133	1
Transport	7	7	0
Supplies & Services	24,493	24,136	(357)
Third Party Payments	0	0	0
Transfer Payments	0	0	0
Capital Charges	27	27	0
Gross Expenditure	27,492	26,879	(613)
Specific Grants	(24,714)	(24,714)	0
Other Grants & Contributions	0	(127)	(127)
Fees & Charges	0	(24)	(24)
Recharges in Target	0	(9)	(9)
Other Income	0	0	0
Gross Income	(24,714)	(24,874)	(160)
Total Net Expenditure	2,778	2,005	(773)

Housing Revenue Account (HRA) Financial Monitoring 2018/19
Period 3 (1st April to 30th June 2018)

Revenue**1. Overview**

The projected outturn for the Housing Revenue Account is a surplus of £0.858m, which can be further analysed as follows:

	Annual Target Budget £000	Projected Outturn £000	Projected (Surplus) / Deficit £000
Gross Expenditure	91,906	91,879	(27)
Gross Income	(131,888)	(131,969)	(81)
Net Expenditure	(39,982)	(40,090)	(108)
Contribution to / (Use of) Reserves			0
(Use of) HRA Balances			(750)
Revenue Contribution to Capital (RCCO)			0
Adjusted Variance			(858)
Carried Forward Previously Approved			0
Net Variance			(858)

Further details of the projected outturn position can be found in Appendix M1. The table below outlines the main reasons for the projected surplus of £0.858m:

Reasons for Surplus/Deficit	£000
Asset management vacancies covered by apprentices as part of succession planing	(284)
Restructuring and staff turnover within Housing Management resulting in staff savings due to budgets being at top of grade, along with vacancies for 6 months while recruitment is carried out	(354)
Network rental lines for CCTV systems lower than anticipated	(69)
Income generated from sales of materials to sub contractors	(163)
Other variances	12
Total	(858)

Appendix M Housing Revenue Account

The projected net surplus is requested to be treated as follows:

Requested Treatment	£000
Transfer to HRA balances to fund ongoing capital investment	858
Total	858

2. Available Target Resources

Target budget resources available to the Housing Revenue Account as at period 3 are £39.982m. There have been no amendments made since the 2018/19 budget was approved.

No additional specific grants have been received by the Housing Revenue Account since the 2018/19 budget was approved.

3. Virements within the Service

There have been no virements of over £0.200m processed between sub divisions within the Housing Revenue Account since the 2018/19 budget was approved.

4. Central Items

The service does not have responsibility for the management of any Central Items there is no Appendix M2.

5. Earmarked Reserves

The service has set aside sums totalling £3.010m in previous years as an earmarked reserve for use on specific activities in current and future years. The service estimated that it would not utilise this during the current year; the projected outturn is that this is still the case as detailed in the following table:

Earmarked Reserve	Balance as at 31 March 2018 £000	Forecast Expenditure 2018/19 £000	Remaining Balance 31st March 2019 £000
HRA Welfare Reform	3,010	0	3,010
Total	3,010	0	3,010

The HRA Welfare Reform reserve is to manage the impact of the introduction of Universal Credit, which is anticipated to increase bad debts within the HRA following full implementation in November 2018.

6. Severance Payments

In 2017/18 a provision of £0.266m was created for severance costs. No costs have been incurred to date however it is anticipated that the provision will be fully utilised in 2018/19.

The table below summarises the position:

	Actual to Date £000	Remaining Costs £000	Forecast Outturn £000	Total Provision created 2017/18 £000	Net in year Expenditure £000
Provision	0	266	266	266	0
Costs not provided for	0	0	0	0	0
Total	0	266	266	266	0
Funded by:					
Directorate					0
HRA Balances					0
Total Funding					0

7. Use of HRA Reserves

Projected expenditure of £0.750m incurred by the Housing Revenue Account will be met from HRA balances. This is to cover the cost of the ongoing Transforming Tomorrow Programme to enable mobile working in the Asset Management service, along with improvements to the Housing Management system (SHAPE).

Capital

8. Overview

The total capital resource available to the Housing Revenue Account as at period 3 are £68.803m. This reflects the following amendments that have been made since the previously reported monitoring:

	£000
Capital Budget as per 2017/18 P12 Budget Monitoring	68,073
Re-profile from 2019/20	
Strathmore Road new build	3,984
Ex Neighbourhood Offices	9
Ex Neighbourhood Offices - Ladbury Road	16
RTB Buy Backs	250
Removal of resources	
RTB 1-4-1 receipts - use of Homes England funding	(3,529)
Revised Capital Resource Period 3	68,803

9. Virements

There have been no virements between capital schemes during the period.

10. Projected Capital Outturn

The projected outturn for the Housing Revenue Account is to breakeven. Further details of the capital programme for the service can be found in Appendix M3.

Appendix M Housing Revenue Account

Contact

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Business Partner - Finance

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Appendix M Housing Revenue Account

Housing Revenue Account	Appendix M1 Revenue Monitoring		
Area	Annual Target Budget	Projected Outturn	Projected Variance (Surplus) / Deficit
	£'000	£'000	£'000
Asset Management & Maintenance	31,627	31,227	(400)
Business Excellence	3,415	4,165	750
Commercial Services	4,086	4,086	0
Communities & Partnerships	1,825	1,760	(65)
Corporate HRA	18,405	18,468	63
Housing Management	7,432	6,956	(476)
PFI	(928)	(928)	0
Rents & Other Charges	(112,796)	(112,776)	20
SLA	6,952	6,952	0
Total Net Expenditure	(39,982)	(40,090)	(108)
Contribution to / (Use of) Reserves			0
(Use of) HRA Balances			(750)
Revenue Contribution to Capital (RCCO)			0
Adjusted Variance			(858)
Carried Forward Previously Approved			0
Net Variance			(858)
Subjective Analysis	Annual Target Budget	Projected Outturn	Projected Variance (Surplus) / Deficit
	£'000	£'000	£'000
Employees	33,308	32,624	(684)
Premises	2,648	2,588	(60)
Transport	2,105	2,105	0
Supplies & Services	39,163	39,880	717
Third Party Payments	498	498	0
Transfer Payments	0	0	0
Capital Charges	14,184	14,184	0
Gross Expenditure	91,906	91,879	(27)
Specific Grants	(5,713)	(5,713)	0
Other Grants & Contributions	0	0	0
Fees & Charges	(122,835)	(122,963)	(128)
Recharges in Target	0	0	0
Other Income	(3,340)	(3,293)	47
Gross Income	(131,888)	(131,969)	(81)
Total Net Expenditure	(39,982)	(40,090)	(108)

Housing Revenue Account							Appendix M3 Capital Monitoring			
	Original Budget 2018/19 (Main Programme)	Additional Approvals / Adjustments	Re-Profile to Future Years	Revised Budget 2018/19 (Main Programme)	Self Financing	Total Budget 2018/19	2018/19			(Surplus) / Deficit for the Year
							Actual Spend to Date £	Remaining Spend £	Total Forecast Expenditure £	
	£	£	£	£	£	£	£	£	£	£
Prudential Borrowing - New build	0	0	0	0	0	0	0	0	0	0
Brindley 2	25,000	(1,000)	0	24,000	0	24,000	2,342	21,658	24,000	0
Carrisbrooke Close	5,579,000	0	0	5,579,000	0	5,579,000	879,380	4,699,620	5,579,000	0
Ex Neighbourhood Offices New Build	0	(3,000)	9,000	6,000	0	6,000	6,456	0	6,456	456
Ex Neighbourhood Offices New Build - Gladstone Street	10,000	0	0	10,000	0	10,000	209	9,791	10,000	0
Ex Neighbourhood Offices New Build - Hilton Road	44,000	0	0	44,000	0	44,000	0	44,000	44,000	0
Ex Neighbourhood Offices New Build - Ladbury Road	0	(5,000)	16,000	11,000	0	11,000	11,432	0	11,432	432
Ex Neighbourhood Offices New Build - Monmouth Drive	44,000	0	0	44,000	0	44,000	0	44,000	44,000	0
Kier Housing - Kent Close, Tibbington	25,000	0	0	25,000	0	25,000	0	25,000	25,000	0
Metis Developments	4,887,000	0	0	4,887,000	0	4,887,000	0	4,887,000	4,887,000	0
Moor Lane Extra Care	6,170,000	0	0	6,170,000	0	6,170,000	9,492	6,160,508	6,170,000	0
Oxford Road Extra Care - New Build	2,421,000	0	0	2,421,000	0	2,421,000	717,215	1,703,785	2,421,000	0
Strathmore	0	0	3,984,000	3,984,000	0	3,984,000	61,182	3,922,818	3,984,000	0
West Road Extra Care	4,492,000	0	0	4,492,000	0	4,492,000	87,719	4,404,281	4,492,000	0
Prudential Borrowing - High Rise										
High Rise	6,063,000	0	0	6,063,000	0	6,063,000	0	6,063,000	6,063,000	0
Beaconview	46,000	0	0	46,000	0	46,000	0	46,000	46,000	0
Charlemont Farm	14,000	0	0	14,000	0	14,000	10,078	3,922	14,000	0
High Rise - General	1,000,000	(20,000)	0	980,000	0	980,000	0	980,000	980,000	0
Emergency Cladding Works	0	20,000	0	20,000	0	20,000	20,133	0	20,133	133
Heronville\Paget\Whyley	0	0	0	0	0	0	0	0	0	0
The Crofts	86,000	0	0	86,000	0	86,000	0	86,000	86,000	0
Kynaston House	185,000	0	0	185,000	0	185,000	3,500	181,500	185,000	0
Lion Farm	7,257,000	0	0	7,257,000	0	7,257,000	1,675,289	5,581,711	7,257,000	0
Darley House	23,000	0	0	23,000	0	23,000	0	23,000	23,000	0
Alfred Gunn House	199,000	0	0	199,000	0	199,000	22,306	176,694	199,000	0
Mountford House	0	0	0	0	0	0	71	0	71	71
Nelson House	378,000	0	0	378,000	0	378,000	15	377,985	378,000	0
Prudential Borrowing - Other										
Internal Refurbishment	0	0	0	0	0	0	0	0	0	0
Boiler Replacement	2,352,000	0	0	2,352,000	0	2,352,000	874,820	1,477,180	2,352,000	0
RTB Buy Backs	0	0	250,000	250,000	0	250,000	111,593	138,407	250,000	0
Sandfield House	470,000	0	0	470,000	0	470,000	0	470,000	470,000	0
ECO Projects	740,000	0	0	740,000	0	740,000	0	740,000	740,000	0
RTB Receipts - Allowable Debt										
Internal Refurbishment	852,000	0	0	852,000	0	852,000	0	852,000	852,000	0
RTB Buy Backs	0	0	0	0	0	0	0	0	0	0
Lion Farm	0	0	0	0	0	0	0	0	0	0
Boiler Replacement	648,000	0	0	648,000	0	648,000	0	648,000	648,000	0
RTB Receipts - 1-4-1 Replacement										
Brindley 2	3,529,000	(3,529,000)	0	0	0	0	0	0	0	0
Ex Neighbourhood Offices New Build	0	1,000	0	1,000	0	1,000	1,004	0	1,004	4
Ex Neighbourhood Offices New Build - Gladstone Street	0	3,000	0	3,000	0	3,000	2,767	233	3,000	0
Ex Neighbourhood Offices New Build - Hilton Road	0	0	0	0	0	0	90	0	90	90
Ex Neighbourhood Offices New Build - Ladbury Road	0	0	0	0	0	0	0	0	0	0
Ex Neighbourhood Offices New Build - Monmouth Drive	0	5,000	0	5,000	0	5,000	4,899	101	5,000	0
Kier Housing - Kent Close, Tibbington	0	0	0	0	0	0	0	0	0	0
Oxford Road Extra Care - New Build	571,000	0	0	571,000	0	571,000	307,378	263,622	571,000	0

Housing Revenue Account							Appendix M3 Capital Monitoring			
	Original Budget 2018/19 (Main Programme)	Additional Approvals / Adjustments	Re-Profile to Future Years	Revised Budget 2018/19 (Main Programme)	Self Financing	Total Budget 2018/19	2018/19			(Surplus) / Deficit for the Year
	£	£	£	£	£	£	Actual Spend to Date £	Remaining Spend £	Total Forecast Expenditure £	£
<u>RCCO : MRA/Ringfenced Approvals</u>										
Internal Refurbishment	14,184,000	(165,000)	0	14,019,000	0	14,019,000	3,013,743	11,005,257	14,019,000	0
Single to Double Glazing & Composite Doors	0	165,000	0	165,000	0	165,000	165,066	0	165,066	66
<u>RCCO - Other</u>										
Adaptations for Disabled	3,824,000	0	0	3,824,000	0	3,824,000	633,273	3,190,727	3,824,000	0
Oxford Road Extra Care - New Build	0	0	0	0	0	0	0	0	0	0
Strathmore Road	0	0	0	0	0	0	0	0	0	0
Boiler Replacement	0	0	0	0	0	0	0	0	0	0
High Rise - General	0	0	0	0	0	0	0	0	0	0
ECO Projects	260,000	0	0	260,000	0	260,000	0	260,000	260,000	0
Estate Improvements	632,000	0	0	632,000	0	632,000	1,195	630,805	632,000	0
Internal Refurbishment	0	0	0	0	0	0	0	0	0	0
Property Conversions	121,000	0	0	121,000	0	121,000	21,258	99,742	121,000	0
Replacement of CO2 and Smoke Detectors	267,000	0	0	267,000	0	267,000	0	267,000	267,000	0
Sandfield House	525,000	0	0	525,000	0	525,000	14,089	510,911	525,000	0
Riverside PFI	0	0	0	0	0	0	0	0	0	0
CCTV Expansions	150,000	0	0	150,000	0	150,000	0	150,000	150,000	0
Total	68,073,000	(3,529,000)	4,259,000	68,803,000	0	68,803,000	8,657,993	60,146,259	68,804,251	1,251